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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/870,809	05/31/2001	Kazuaki Nakajima	P/289-165	1249

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NEW YORK, NY 10036-2714

EXAMINER
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BAYARD, DJENANE M

ART UNIT	PAPER NUMBER
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2141

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	04/16/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

09/870,809

Applicant(s)

NAKAJIMA, KAZUAKI

Examiner

Djenane M. Bayard

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 31 January 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3,4,6,7,9-11 and 13-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_\_ is/are rejected.
- 7) ☒ Claim(s) 1, 3, 4, 6, 7, 9-11, 13-18 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

1. This is in response to Request for continued examination filed on 1/31/07 in which claims 1, 3-4, 6-7, 9-11 and 13-18 are pending.

#### ***Response to Arguments***

2. Applicant's arguments with respect to the rejection(s) of claim(s) 1, 4, 7 and 11 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of U.S. Patent No. 5,859,971 to Bittinger et al

#### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1, 4, 7, 10-11 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2001/0037266 to Schroeder in view of U.S. Patent No. 5,859,971 to Bittinger et al.

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a. As per claims 1, 4 and 15-18, Schroeder teaches a method of identifying a server from a client terminal having a browser and a processor, said server and said client terminal being connectable with each other via a communications network, comprising the steps of: a) transmitting a first request packet from said browser to one of said plurality of servers for requesting identity of an intended server maintaining a shared data file (See page 3, paragraph [0037], *many image file servers can be dispersed throughout the system*) ; b) receiving the first request packet at said one server and transmitting therefrom server specific information to said browser, indicating the identity of the intended server ( (See page 3, paragraph [0037], *The server sends its file response to the URL request in the form of instructions to the browser as to where it can find the images. Furthermore, it is inherent to one with ordinary skill in the art that the identity of the intended server is contained in the reply in order for the browser to send the second request directly to the intended server*); c) receiving said server specific information at said browser and invoking said processor to hand over the received information to the processor; d) transmitting a second request packet from the processor containing the identity of said intended server to said network for requesting downloading of said shared data file, whereby the second request packet is automatically routed through the network to the intended server; to a server specified by the received server specific information (*It is inherent to one with ordinary skill in the art that the second request contained the identity of the intended server in order for the browser to send the request directly to the intended server*); and e) receiving the second request packet at the intended server and downloading the requested shared data file from the intended server to said processor, and storing the downloaded shared data file in said memory device; (See page 3 paragraph 0037)). However, Schroeder fails to teach f) transmitting a third

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request packet from said processor to the intended server for requesting differential data and transmitting therefrom to said processor differential data representing a difference between an updated version said data file currently maintained by the intended server and the shared data file that was downloaded in step (e) from the intended server to said processor.

Bittinger et al teaches wherein the difference data is sent to the second computer over the external link (See col. 3, lines 28-67).

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate the teaching of Bittinger et al in the claimed invention of Schroeder in order to reduce the amount of communication over the external communication link both in the frequency of the communications and in the amount of information which must be transferred over the communication link (See col. 7, lines 55-60).

b. As per claims 7 and 11, Schroeder teaches a communications network; a plurality of servers connected to the network; and a client terminal connected to the network, the client terminal having a processor and a browser, the browser transmitting a first request packet to one said plurality of servers for requesting identity of a server that maintains a shared data file (See page 3, paragraph [0037]); said one of said servers being responsive to said first request packet for transmitting server specific information to said browser for indicating the identity of an intended server (See page 3, paragraph [0037], *The server sends its file response to the URL request in the form of instructions to the browser as to where it can find the images.* Furthermore, it is inherent to one with ordinary skill in the art that the identity of the intended server is contained in the reply in order for the browser to send the second request directly to the

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*intended server*), said browser being responsive to said server specific information for invoking said processor to hand over the received information thereto, said processor being responsive to the received information for transmitting a second request packet containing the identity of the intended server to said network for requesting downloading of the shared data file, whereby the second request packet is automatically routed through the network to said intended server and being configured to store a said shared data file when the same is downloaded from said intended server in said memory device and the intended server being response to the second request packet for downloading shared data file to said processor (See page 3, paragraph [0037]).

Remarks : It is inherent that the client terminal has a processor in order to process the request and the response from the server). However, Schroeder fails to teach transmitting a third request packet from said processor to the intended server for requesting differential data and being responsive to said third request packet for transmitting to the processor differential data representing a difference between an updated version of shared data file currently maintained by the intended server and the shared data file that was downloaded in response to said second request packet.

Bittinger et al teaches wherein the difference data is sent to the second computer over the external link (See col. 3, lines 28-67).

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate the teaching of Bittinger et al in the claimed invention of Schroeder in order to reduce the amount of communication over the external communication link both in the frequency of the communications and in the amount of information which must be transferred over the communication link (See col. 7, lines 55-60).

c. As per claims 10 and 14, Schroeder in view of Bittinger et al teaches the claimed invention as described above. Furthermore, Schroeder teaches wherein said intended server is configured to receive server specific information from another server of the network and transmits the received server specific information to said browser (See page 3, paragraph [0037]).

5. Claims 3, 6, 9 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2001/0037266 to Schroeder in view of U.S. Patent No. 5,859,971 to Bittinger et al as applied to claims 1, 4, 7 and 11 above, and further in view of U.S. Patent No. 5,852,717 to Bhide et al.

a. As per claims 3, 6, 9 and 13, Schroeder in view of Bittinger et al teaches the claimed invention as described above. However, Schroeder in view of Watanabe et al fails to teach wherein said network includes a cache memory, and wherein said second request packet contains an identifier identifying said shared data file, said identifier being determined for each access from said processor to said intended server so that the shared data file identified by said identifier does not coincide with data stored in said cache memory.

Bhide et al teaches wherein said network includes a cache memory, and wherein said second request packet contains an identifier identifying said shared data file, said identifier being determined for each access from said processor to said server so that the shared data file

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identified by said identifier does not coincide with data stored in said cache memory (See col. 12, lines 15-35)

It would have been obvious to one with ordinary skill in the art at the time the invention was made to incorporate wherein said network includes a cache memory, and wherein said second request packet contains an identifier identifying said shared data file, said identifier being determined for each access from said processor to said server so that the shared data file identified by said identifier does not coincide with data stored in said cache memory as taught by Bhide et al in the claimed invention of Schroeder in view of Bittinger et al in order to set up the cache of information and realize a performance increase (See col. 8, lines 1-3).

### ***Conclusion***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Djenane M. Bayard whose telephone number is (571) 272-3878. The examiner can normally be reached on Monday- Friday 5:30 AM- 3:00 PM..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on (571) 272-3880. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.




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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Djenane Bayard

Patent Examiner

  
RUPAL DHARIA  
SUPERVISORY PATENT EXAMINER